

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		10620254
Filing Date		2003-07-15
First Named Inventor	Joseph H. Matthews III	
Art Unit	2611	
Examiner Name		
Attorney Docket Number	MS1-0093USC2	

## **U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5692214		1997-11-25	Levine	

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## **U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	Ts
	1	JP06216188			1995-09-05	MNI Interactive		<input type="checkbox"/>
	2	WO9641470			1996-12-19	TV Guide Onscreen		<input type="checkbox"/>
	3	JP07193886	JP		1997-02-14	Hitachi LTD		<input type="checkbox"/>

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4	JP0773243			1995-03-17	Philips Electron NV		<input type="checkbox"/>
5	JP08051595			1996-02-20	Microsoft Corp		<input type="checkbox"/>
6	JP09203509			1998-10-04	Thomson Multimedia SA		<input type="checkbox"/>
7	JP8046889			1997-02-14	Hitech LTD		<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	DiRosa, "BIGSURF Netguide", Vol.3.1, July, 1995, pp 1-27.	<input type="checkbox"/>
	2	Ehmantraut, "The Personal Electronic Program Guide - Towards the Pre-Selection of Individual TV Programs" Proceedings of the International Conference on Information and Knowledge Management, CIKM ACM New York, November 12 1996, pp. 243-250.	<input type="checkbox"/>
	3	Loeb, "Architecting Personalized Delivery of Multimedia Information" Journal of ACM Association for Computing Machinery New York, vol. 35, no 12, December 1992, pp. 39-48.	<input type="checkbox"/>
	4	Shibano, Koji, "The SQL-92 Follow on and the SQL, Information Processing", Information Processing Society of Japan, July 15, 1996, Vol. 37, No. 7, pp. 616-622	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


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- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.58(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2003-10-24
Name/Print	David A. Morasch	Registration Number	42905

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.